



Swan Defined Risk Strategy Goes Global

Announcing the Swan Defined Risk Emerging Markets Fund

(Tickers: SDFAX, SDFCX, SDFIX)

Swan Global Investments, LLC (previously Swan Wealth Advisors, Inc.), and Swan Capital Management, LLC are excited to formally announce and introduce the newest fund offering, the Swan Defined Risk Emerging Markets Fund (A, C, and I shares will be available). The fund is anticipating a launch in mid-December.

The Swan Defined Risk Emerging Markets Fund

Swan is excited to offer a defined risk type hedged equity solution for the emerging markets asset class. The principles of the Swan DRS (Defined Risk Strategy) remain exactly the same, but with a different underlying equity. The emerging market ETFs utilized will provide exposure to over 800 stocks in over 20 emerging market countries around the globe. The fund's equity will be hedged at all times with no aspirations of market predictions and an options income strategy implemented within the strategy.

Key Information for the Swan Defined Risk Emerging Markets Fund

Share Classes Available	A	C	I
Tickers	SDFAX	SDFCX	SDFIX
Max Sales Charge	5.5%	None	None
12b-1 Fees	.25%	1.00%	None
CDSC	None	None	None
Redemption Fee	None	None	None
Initial Investment	\$2,500	\$2,500	\$100,000

Platforms Available At Launch – Please call us for the current Platforms where the fund is available for purchase.

For more detailed information regarding the Fund's investment strategy or to receive a prospectus, please email or call us. Thank you for your interest in seeking to protect your client's assets.

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Investors should carefully consider the investment objective, risks, charges and expenses of the Swan Defined Risk Fund. This and other information is contained in the prospectus and should be read carefully before investing. For a prospectus please call Swan Defined Risk Fund at (877) 896-2590. The Fund is distributed by Northern Lights Distributors, LLC, member FINRA/SIPC. Northern Lights Distributors, LLC is not affiliated with Swan Capital Management, LLC and Swan Global Investments, LLC.

Mutual funds involve risk, including possible loss of principle.

ETFs are subject to investment advisory and other expenses, which will be indirectly paid by the Fund. As a result, the cost of investing in the Fund will be higher than the cost of investing directly in ETFs and may be higher than other mutual funds that invest directly in stocks. ETFs are subject to specific risks, depending on the nature of the fund.

The use of leverage, such as that embedded in options, could magnify the Fund's gains or losses. Written option positions expose the Fund to potential losses many times the option premium received. The Fund is a new mutual fund and has a limited history of operation.

The adviser's dependence on its DRS process and judgments about the attractiveness, value and potential appreciation of particular ETFs and options in which the Fund invests or writes may prove to be incorrect and may not produce the desired results.

Purchased put options may expire worthless and may have imperfect correlation to the value of the Fund's sector ETFs. Written call and put options may limit the Fund's participation in equity market gains and may amplify losses in market declines. The Fund's losses are potentially large in a written put or call transaction. If un-hedged, written calls expose the Fund to potentially unlimited losses.

There is no guarantee the fund will meet its objectives.

